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CONFIRMATION NO. 9308

| SERIAL NUMBER | FILING or 371(c) DATE | CLASS | GROUP ART UNIT | ATTORNEY DOCKET NO. | | |
|---|---|--|---|---------------------------------|-------------------------------|------------------------------------|
| 10/071,053 | 02/08/2002 RULE | 705 | 3694 | 049212-0104 | | |
| APPLICANTS Bruce Bent, Plandome, NY; Bruce Bent II, New York, NY; ** CONTINUING DATA ***** This application is a CIP of 09/176,340 10/21/1998 PAT 6,374,231 and is a CIP of 09/677,535 10/02/2000 ** FOREIGN APPLICATIONS ***** ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY ** 04/04/2002 | | | | | | |
| Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input type="checkbox"/> No Verified and /MARY DA ZHI WANG CHEUNG/ Acknowledged Examiner's Signature | | <input type="checkbox"/> Met after Allowance Initials | STATE OR COUNTRY NY | SHEETS DRAWINGS 6 | TOTAL CLAIMS 20 | INDEPENDENT CLAIMS 3 |
| ADDRESS FOLEY AND LARDNER LLP SUITE 500 3000 K STREET NW WASHINGTON, DC 20007 UNITED STATES | | | | | | |
| TITLE Systems and methods for administering return sweep accounts | | | | | | |
| FILING FEE RECEIVED 2240 | FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following: | | <input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit | | | |